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BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
OF THE STATE OF CALIFORNIA

In the Matter of:)	CFL LICENSE NO. 603-H755
)	
BREAKWATER STRUCTURED GROWTH)	ORDER CONDITIONALLY GRANTING
OPPORTUNITIES FUND, L.P.)	PETITION FOR REINSTATEMENT
)	(California Government Code Section 11522)

TO: Chief Financial Officer
Harry Chung
Breakwater Structured Growth Opportunities Fund, L.P.
1999 Avenue of the Stars, Suite # 3430
Los Angeles, CA 90067

KAMALA D. HARRIS
ATTORNEY GENERAL, STATE OF CALIFORNIA
Department of Justice
P.O. Box 944255
Sacramento, California 94244-2550

Petitioner, BREAKWATER STRUCTURED GROWTH OPPORTUNITIES FUND, L.P. (“Breakwater”) having filed a petition for reinstatement of its California Finance Lenders Law license, which was revoked by the Commissioner of Business Oversight (“Commissioner”) on July 29, 2014, the petition having been considered, no further argument submitted, and good cause appearing,

IT IS ORDERED that, subject to the Commissioner’s satisfactory review, the Petition for Reinstatement is granted provided that the following conditions are met by Breakwater within thirty days (30) of the date hereof:

Payment of penalties in the amount of \$17,500.00 to the Department of Business Oversight (“Department”) for the following:

- 1) \$2,500.00 for failure to file an annual report in violation of Financial Code section 22159;
- 2) \$2,500.00 for failure to notify the Department of a name change in violation of Financial Code section 22155; and
- 3) \$12,500.00 for engaging in 5 loan transactions without a license in violation of Financial Code section 22100.

The penalties shall be paid to the “Department of Business Oversight”, attention to: Johnny Vuong, Enforcement Division, Department of Business Oversight, 320 W. 4th St., # 750, Los Angeles, CA 90013.

Dated: October 21, 2016
Los Angeles, California

JAN LYNN OWEN
Commissioner of Business Oversight

By _____
Mary Ann Smith
Deputy Commissioner
Enforcement Division